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3	Controlling Biofilms Using Synthetic Biology Approaches
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16 ABSTRACT

17 Bacterial biofilms are formed by the complex but ordered regulation of intra- or inter-cellular 18 communication, environmentally responsive gene expression, and secretion of extracellular polymeric 19 substances. Given the robust nature of biofilms due to the non-growing nature of biofilm bacteria and the 20 physical barrier provided by the extracellular matrix, eradicating biofilms is a very difficult task to 21 accomplish with conventional antibiotic or disinfectant treatments. Synthetic biology holds substantial 22 promise for controlling biofilms by improving and expanding existing biological tools, introducing novel 23 functions to the system, and re-conceptualizing gene regulation. This review summarizes synthetic biology 24 approaches used to eradicate biofilms via protein engineering of biofilm-related enzymes, utilization of 25 synthetic genetic circuits, and the development of functional living agents. Synthetic biology also enables 26 beneficial applications of biofilms through the production of biomaterials and patterning biofilms with 27 specific temporal and spatial structures. Advances in synthetic biology will add novel biofilm 28 functionalities for future therapeutic, biomanufacturing, and environmental applications. 29

30 Keywords: biofilm control, synthetic biology, genetic circuit, protein engineering, quorum sensing,
 31 quorum quenching

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36 Synthetic biology can enable the eradication of harmful biofilms and the development of beneficial biofilms.

37 1. Introduction

38 Biofilms are sessile microbial aggregates resulted from cooperation and competition between 39 microbes (Dang and Lovell, 2005; Elias and Banin, 2012; Nadell et al., 2016) within a self-produced matrix 40 of extracellular polymeric substances (EPS) composed of polysaccharides, proteins, lipids and nucleic acids 41 that enhance surface adherence and microbial aggregation (Costa et al., 2018; Flemming and Wingender, 42 2010). Typically, biofilm formation causes detrimental effects in various areas including industrial 43 manufacturing (Xu et al., 2017), the environment (Beech and Sunner, 2004; Scheerer et al., 2009), food 44 safety (Zhao et al., 2017), and health (Miquel et al., 2016). Many chronic infections are closely related to 45 the biofilm state (Costerton et al., 1999; Lebeaux et al., 2014), and bacterial colonization of medical devices 46 and implants such as catheters, contact lenses, mechanical cardiac valves, and dental implants can lead to 47 device-related infections (Costerton et al., 2005; Stoodley et al., 2013). Biofilms formed on industrial 48 production lines, heat exchangers, and working surfaces lead to corrosion and damage to machinery, as 49 well as contamination of raw materials and products (Jia et al., 2019; Y. Li et al., 2018). In addition, biofilms 50 formed in food processing facilities can contaminate food products (Brooks and Flint, 2008; Galié et al., 51 2018), contributing to foodborne outbreaks (Srey et al., 2013).

52 Biofilms serve to protect bacteria from antimicrobial agents by forming physical barriers composed of 53 EPS that reduce the diffusion of toxic compounds and by slowing bacterial growth inside the biofilms, 54 which mitigates the efficacy of antimicrobial agents (Mah and O'Toole, 2001). Although mechanical 55 brushing and cleaning can effectively remove biofilms from accessible surfaces (Berger et al., 2018; 56 González-Rivas et al., 2018), it is difficult or impossible to access biofilm-colonized surfaces in many cases. 57 For example, biofilms on indwelling medical devices (Khatoon et al., 2018), industrial pipes (Liu et al., 58 2014), and food processing equipment (González-Rivas et al., 2018) are not easily accessible and require 59 advanced physical, chemical, and biological methods for eradication. Advanced physical methods, such as 60 pulsed electric (del Pozo et al., 2009; Khan et al., 2016), pulsed light (Garvey et al., 2015), magnetic 61 (Geilich et al., 2017; H. Park et al., 2011), sonication (Baumann et al., 2009; Bjerkan et al., 2009), and cold 62 plasma (Abramzon et al., 2006; Gilmore et al., 2018) approaches, have been used to remove or destroy 63 surface biofilms. Chemical treatments, including the use of surfactants (Percival et al., 2017; Simões et al., 64 2005; Splendiani et al., 2006), disinfectants [e.g., chlorine (Kim et al., 2008; Lee et al., 2011) and hydrogen 65 peroxide (Lin et al., 2011; Lineback et al., 2018)], and antibiotics (Ciofu et al., 2017), have also been applied 66 to control biofilms. Biological approaches for biofilm control (Roy et al., 2018) include interfering with 67 signaling pathways via quorum sensing (QS) (e.g., autoinducers) (Boles and Horswill, 2008; Brackman and 68 Coenye, 2014; Hammer and Bassler, 2003; Herzberg et al., 2006; McNab et al., 2003) or secondary 69 messenger molecules [e.g., cyclic di-guanosine monophosphate (c-di-GMP)] (Arora et al., 2015; Barraud 70 et al., 2015; Valentini and Filloux, 2016), inhibiting stringent responses [e.g., alarmone (p)ppGpp] (Chávez

71 de Paz et al., 2012; de la Fuente-Núñez et al., 2014), dispersing extracellular polymeric components by 72 enzymatic disruption (Powell et al., 2018; Xavier et al., 2005), cleaving peptidoglycan [e.g., 73 transglycosylase (Stapleton et al., 2007) and endolysin (Shen et al., 2013)], and altering the membrane 74 potential or permeabilization [e.g., lantibiotics (Mathur et al., 2018) and polymyxins (Lima et al., 2019; S. 75 C. Park et al., 2011)]. Furthermore, surface materials with anti-biofilm coatings (Cattò and Cappitelli, 2019) 76 and smart antibacterial surfaces (X. Li et al., 2018) have been developed for anti-biofilm strategies. Various 77 anti-biofilm compounds, including natural products [essential oils (Jafri et al., 2019) or fatty acids (Marques 78 et al., 2015; Thibane et al., 2010)] and synthesized nanoparticles (Allaker, 2010; Mi et al., 2018), have been 79 investigated for the inhibition or dispersion of biofilms.

80 Synthetic biology is the intersection of biology and engineering and has been harnessed to engineer 81 commensal and probiotic bacteria as genetically programmable sensors and drug delivery devices (Bradley 82 et al., 2016; Duan et al., 2015; Maxmen, 2017) and incorporate synthetic metabolic pathways to produce 83 useful chemicals ranging from biofuels, foods, and pharmaceuticals in the form of microbial consortia 84 (Carocho and Ferreira, 2013; Jia et al., 2016; Volke and Nikel, 2018). Tools employing synthetic biology 85 approaches are also used to investigate the organization of biofilms, uncover the mechanisms of actions of 86 anti-biofilm agents and design strategies to combat biofilms (Brenner and Arnold, 2011; Hong et al., 2012; 87 Hwang et al., 2017). By understanding the formation of microbial consortia, we can design and engineer 88 microbial ecosystems for biomedical, industrial and biotechnological purposes. Recent seminal reviews 89 have summarized the synthetic biology tools used to engineer microbial communities (Bittihn et al., 2018; 90 Jia et al., 2016; Kong et al., 2018). Here, we specifically focus on reviewing synthetic biology tools and 91 strategies to eradicate and engineer biofilms.

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93 2. Biofilms and Signaling Molecules

94 **2.1 Biofilm development and persistence**

95 Biofilms develop through the cellular processes of initial reversible and irreversible attachment, 96 microcolony formation, and maturation. When biofilms become sufficiently mature, single planktonic cells 97 are dispersed from the biofilms (Costerton et al., 1999) (Fig. 1A). Biofilm development involves the 98 regulation of hundreds of biofilm-specific genes including those related to stress responses, QS, motility, 99 cell-surface appendages, metabolism, and transport (Domka et al., 2007). Biofilm communities release 100 diverse inter- and intra-cellular signaling molecules that directly affect the population and dynamic structure 101 of biofilms (Giaouris et al., 2015; Karatan and Watnick, 2009). These bioactive compounds range from 102 small signaling molecules known as autoinducers, D-amino acids, and metabolites, to higher-order proteins 103 that mediate bacterial interactions (Karatan and Watnick, 2009; Kostakioti et al., 2013). As a result, biofilms 104 are quite robust and frequently require costly, repetitive physical and chemical treatment applications for removal. Biofilms are typically treated through the external addition of disinfectants or antimicrobials, unless physical debridement, such as mechanical brushing, is used (Berger et al., 2018; González-Rivas et al., 2018). However, external biocide addition shows very limited efficacy, mainly because of the mass transfer limitation in complex biofilms mixed with EPS, as well as the non-metabolizing nature of the cells inside biofilms (Anderson and O'Toole, 2008), which survive under high concentrations of antibiotics. Compared with planktonic counterparts, biofilms are 10- to 1,000-fold more resistant to various antimicrobials (Davies, 2003). Therefore, novel approaches are required to eradicate biofilm bacteria.

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113 **2.2 Regulation of biofilm formation via signaling molecules**

114 Diverse signaling molecules are involved during bacterial biofilm formation (Fig. 1B). QS is a cell-cell 115 communication process in bacteria mediated by the production and detection of extracellular chemicals 116 known as autoinducers (Popat et al., 2015; Waters and Bassler, 2005). QS allows bacteria to coordinate 117 their gene expression in a population-driven manner. Acyl-homoserine lactones (AHLs) are a major 118 autoinducer signal mediating QS in Gram-negative bacteria (Papenfort and Bassler, 2016). The LuxI/LuxR 119 system of Vibrio fischeri is known as a classical AHL QS system (Fuqua et al., 1994) (Fig. 1C). LuxI 120 synthesizes the autoinducer N-(3-oxo-hexanoyl)-L-homoserine lactone (3oC6HSL), and LuxR forms a 121 complex with 3oC6HSL, resulting in broad gene expression activation (Fuqua et al., 2001; Kumar and 122 Rajput, 2018). In contrast, Gram-positive bacteria use modified oligopeptides as autoinducers, which are 123 detected by membrane-bound two-component signaling proteins that transduce information via a series of 124 phosphorylation events (Kleerebezem et al., 1997). The agr (accessory gene regulator) system of 125 Staphylcoccus aureus is an example of a QS system in Gram-positive bacteria (Queck et al., 2008) (Fig. 126 **1D**). agrD encodes a propertide possessing the autoinducing peptide (AIP) signal sequence (Zhang et al., 127 2002). The propeptide is processed by cleavage of the N-terminal signal peptide by S. aureus signal 128 peptidase B (SpsB) and C-terminal tail by AgrB, and the mature AIP is then secreted into the extracellular 129 environment (Kavanaugh et al., 2007). Sensor transmembrane histidine kinase AgrC and its cognate 130 response regulator AgrA constitute a classical bacterial two-component signal transduction system. Once 131 AIP binds to AgrC, AgrA is phosphorylated and subsequently binds to P2 and P3 promoter regions. This 132 enables RNAII production that further triggers AIP synthesis, along with induction of RNAIII that regulates 133 genes related to virulence, biofilm formation, and other processes (Koenig et al., 2004; Queck et al., 2008). 134 Such OS signaling plays an important role in biofilm formation (Boles and Horswill, 2008). In V. cholerae 135 and S. aureus, increased cell density inhibits biofilm formation (Boles and Horswill, 2008; Hammer and 136 Bassler, 2003), while activation of QS circuits (two LuxI/R-type QS circuits, LasI/R and RhII/R) in 137 Pseudomonas aeruginosa stimulates biofilm formation (Duan and Surette, 2007). Autoinducer-2 (AI-2) is 138 a species-nonspecific autoinducer produced by both Gram-negative and Gram-positive bacteria (Schauder

139 and Bassler, 2001). It is synthesized by S-ribosylhomocysteine lyase (LuxS), which converts S-140 ribosylhomocysteine to homocysteine and (S)-4,5-dihydroxy-2,3-pentanedione (DPD). DPD is then 141 processed into AI-2 molecules (Xavier et al., 2007). AI-2 was studied in regulations of intra- and inter-142 species biofilms. In the environment of dental plaque, hundreds of bacterial species constitute mixed-143 species biofilms, and *Streptococcus gordonii* is a main colonizer among them as AI-2 production from S. 144 gordonii on teeth induces the consecutive colonization by other bacteria such as Porphyromonas gingivalis 145 (McNab et al., 2003). The addition of AI-2 leads to an increase in biofilm formation in E. coli (Herzberg et 146 al., 2006). In addition, AI-2 from Klebsiella pneumoniae could promote its early biofilm formation 147 (Balestrino et al., 2005).

148 Another common signaling molecule is c-di-GMP, a ubiquitous second messenger present in almost all 149 bacteria. c-di-GMP is the central regulator of biofilm formation, as it mediates the switch between the 150 motile and sessile forms of bacteria (Valentini and Filloux, 2016). c-di-GMP is synthesized from two 151 guanosine-5'-triphosphate molecules by diguanylate cyclases (DGCs), and is degraded into 5'-152 phosphoguanylyl-(3'-5')-guanosine and guanosine monophosphate by phosphodiesterases (PDEs). Various microorganisms are reported to express multiple DGC and PDE enzymes (Hengge, 2009; Römling et al., 153 154 2013; Sondermann et al., 2012). This enzymatic redundancy might be beneficial to bacteria through each 155 enzyme's specific activation and inactivation in response to different environmental conditions.

Indole is an intercellular signaling molecule produced from tryptophan by the enzyme tryptophanase TnaA (Lee and Lee, 2010). Indole has diverse roles including in spore formation, plasmid stability, drug resistance, biofilm formation, and virulence in indole-producing bacteria. The effect of indole on biofilm formation is controversial. Indole was initially reported to enhance biofilm formation in *E. coli* S17-1. However, indole inhibits biofilm formation in nine nonpathogenic *E. coli* as well as the pathogenic *E. coli* 0157: H7 strain. Indole was recently reported to repress persister cells, which are metabolically dormant cell populations (J. H. Lee et al., 2016).

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164 **2.3 Regulation of biofilm dispersal via signaling molecules**

165 Nitric oxide (NO) is a simple gas and a biological signaling molecule found to induce biofilm dispersal 166 across a wide range of bacterial species (Arora et al., 2015; Barraud et al., 2015). Because of the broad-167 spectrum anti-biofilm effects of NO, NO-releasing materials and prodrugs have also been explored 168 (Barraud et al., 2012; Hetrick et al., 2009). Increased understanding of the role of NO in biofilm formation 169 through its regulation of intracellular c-di-GMP concentrations, QS, and cellular nitrogen metabolism has 170 helped reveal the action mechanism of known drugs and identify novel targets for drug development 171 (Rinaldo et al., 2018). NO sensors such as H-NOX (heme-nitric oxide/oxygen binding) or NosP (nitric 172 oxide sensing protein) affect biofilm formation by regulating c-di-GMP concentrations and QS (Hossain and Boon, 2017; Rinaldo et al., 2018). Understanding H-NOX and NosP mechanisms in bacteria could lead
to better control of bacterial biofilms and biofilm-related infections (Williams et al., 2018).

175 Natural amino acids predominantly participating in protein synthesis are in the L-form, while D-amino 176 acids are found in the cell walls of bacteria. Recently, D-amino acids have been demonstrated to act as 177 regulatory signals for cell wall remodeling and biofilm disassembly (Cava et al., 2011; Kolodkin-Gal et al., 178 2010). In living organisms, D-amino acids are synthesized by the action of racemases that convert amino 179 acids from L-form to D-form (Tanner, 2002). D-amino acids disperse biofilms by interfering with the 180 anchoring of amyloid fibers that link biofilm cells together (Kolodkin-Gal et al., 2010; Oppenheimer-181 Shaanan et al., 2013) and prevent biofilm formation by altering the cell wall composition (Bucher et al., 182 2015). Furthermore, mixtures of D-amino acids have been shown to promote biocide treatments against 183 biofilm communities in a water-cooling tower (Jia et al., 2017) and to reduce biofilms in dental unit 184 waterlines (Ampornaramyeth et al., 2018). Due to the distinctive mechanisms and biological roles of D-185 amino acids (Aliashkevich et al., 2018), the application of D-amino acids is an appealing anti-biofilm 186 approach, either alone or in combination with established antimicrobials.

187 It should be noted that during biofilm formation, the synthesis and degradation of inter- and intra-188 cellular signaling molecules are regulated in response to key environmental factors such as temperature 189 (Lee et al., 2008; Lee and Lee, 2010; Townsley and Yildiz, 2015), pH (Chopp et al., 2003; Lee and Lee, 190 2010), osmotic pressure (Hengge, 2008; Valverde and Haas, 2008), and nutrient conditions (Stanley and 191 Lazazzera, 2004). Hence, signaling molecules are excellent candidates for controlling biofilm formation 192 and eradication.

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194 **3. Synthetic Biology Approaches**

195 With an enhanced understanding of biofilms (Flemming et al., 2016) and a growing synthetic biology 196 toolkit (Bittihn et al., 2018; Brenner et al., 2008; Jia et al., 2016), the ability to control biofilms (Wood et 197 al., 2011) continues to expand. An important strategy in controlling biofilms is based on the ability of 198 molecules produced inside biofilms to bypass the mass transport barriers created by extracellular polymeric 199 substances, thereby reaching concentrations sufficiently high to regulate target biofilms. This approach may 200 address numerous biofilm-associated challenges in environmental, agricultural, industrial, and medical 201 areas. The biofilm eradication strategies using protein engineering and synthetic biology are summarized 202 below.

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204 **3.1 Protein engineering of biofilm-controlling enzymes**

205 Protein engineering is a potential strategy to enhance the activity of global regulator proteins related to 206 biofilm formation (**Fig. 2A**). H-NS (histone-like nucleoid structuring protein) represses transcription by

207 recognizing curved DNA sequences and was the first engineered regulator used to control biofilm formation 208 without signaling molecules (Hong et al., 2010b). The variant H-NS K57N was found to reduce biofilm 209 formation, showing an opposite function compared to the biofilm-promoting activity of wild-type H-NS 210 (Hong et al., 2010b). Another global regulator Hha (high hemolysin activity) was engineered to promote 211 biofilm dispersal, resulting in nearly complete biofilm dispersal (Hong et al., 2010a). Proteins with the 212 ability to bind signaling molecules have been engineered for controlled biofilm formation and enhanced 213 dispersal. E. coli does not produce AHLs because it lacks an AHL synthase, but it senses AHL signals 214 through the AHL receptor SdiA, a homologue of LuxR (Dyszel et al., 2010). SdiA was engineered via 215 random and site-directed mutagenesis to regulate biofilm formation in the presence of AHLs or indole (Lee 216 et al., 2009). Like E. coli, the foodborne pathogen Salmonella enterica does not produce AHL signals but 217 does contain the receptor SdiA for AHL, which regulates S. enterica adhesion as well as resistance to host 218 immune responses (Bai and Rai, 2016). BdcA was identified as a c-di-GMP-binding protein and engineered 219 to increase biofilm dispersal through a single amino acid replacement at E50Q (Ma et al., 2011a). In addition, 220 BdcA of E. coli was found to control biofilm dispersal in P. aeruginosa and Rhizobium meliloti (Ma et al., 221 2011b). Therefore, protein engineering of global regulators or signaling molecule-binding proteins enables 222 enhanced biofilm eradication or can be used to modulate the microbial activity of biofilm formation.

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224 **3.2** Synthetic biology for eradicating biofilms

225 **3.2.1** Quorum sensing genetic circuits

226 Bacterial QS systems have been important components of a wide variety of engineered biological 227 devices. Autoinducers are useful as input signals because they diffuse freely in liquid media and penetrate 228 cells easily (Choudhary and Schmidt-Dannert, 2010). Because the engineered cells synthesize their own 229 QS signals, they are able to self-monitor cell density and modulate their activities without oversight (Hong 230 et al., 2012; Ryan and Dow, 2008). Synthetic QS circuit systems have great potential in that population-231 driven QS switches may be utilized to develop synthetic genetic networks for a variety of applications 232 such as to engineer bidirectional communication, construct a predator-prey ecosystem, and create a 233 synthetic symbiotic ecosystem (Wood et al., 2011). The LasI/R and RhII/R pairs, the two best-characterized 234 QS systems of *P. aeruginosa*, have been widely used for synthetic genetic circuits. LasI produces the 235 autoinducer molecule, N-(3-oxo-dodecanoyl)-L-homoserine lactone (3oC12HSL), which is sensed by LasR. 236 Likewise, Rhll produces N-butyryl-L-homoserine lactone (C4HSL) that is sensed by RhlR (Pesci et al., 237 1997). For biofilm formation, the RhII/R QS system was utilized to demonstrate important roles for self-238 organization and aggregation in a synthetic biofilm consortium. The LasI/R system in combination with the 239 engineered biofilm-dispersal enzymes Hha and BdcA showed excellent biofilm displacement upon sensing 240 QS signals (Hong et al., 2012). In this system, the second biofilm (disperser) is grown in the existing biofilm

241 (colonizer), and QS signaling molecules are produced by LasI and accumulate inside the dual-species 242 biofilm. The QS molecules form a complex with LasR, which triggers dispersal of the colonizer biofilm 243 through increased c-di-GMP levels mediated by the BdcA variant (Fig. 2B). Then, the disperser biofilm 244 can be disrupted by inducing the Hha variant with a chemical switch, resulting in cell death in the biofilm. 245 The synthetic QS circuit was applied to prevent membrane biofouling and/or to degrade environmental 246 pollutants (Wood et al., 2016). This beneficial biofilm was able to limit its own thickness on wastewater 247 treatment membrane by secreting and sensing the signaling molecule controlling c-di-GMP levels mediated 248 by the BdcA variant. In addition, the engineered biofilm also prevented biofilm formation by deleterious 249 bacteria through NO generation and was able to degrade the environmental pollutant epichlorohydrin via 250 epoxide hydrolase. Thus, the use of this beneficial biofilm enabled the development of a living biofouling-251 resistant membrane system. The QS circuit systems for controlling biofilms can provide insights into how 252 beneficial biofilms can be developed to prevent or eradicate deleterious biofilms for various applications.

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254 **3.2.2 Quorum quenching enzymes**

255 Finding ways to subvert microbes by interfering with their communication signals is important for 256 combating antibiotic resistance and other biofilm-related situations (Marx, 2014). Quorum quenching (QQ) 257 is the mechanism by which QS is inhibited or interrupted. One strategy here is to process, modify or degrade 258 the signaling molecules that are required for cellular communication, thereby preventing the buildup of 259 biofilms (Grandclément et al., 2016). The majority of QQ studies have focused on hydrolysis of N-acyl 260 homoserine lactones using lactonases that break down lactone rings in AHLs along with acylases that cleave 261 acyl groups (Oh and Lee, 2018) (Fig. 2C). Bacterial or enzymatic QQ has been applied for antifouling 262 strategies in membrane bioreactors (MBRs) for wastewater treatment (Oh and Lee, 2018). For example, 263 AHL-producing bacteria on the surface of membrane were decreased by recombinant E. coli producing 264 lactonase AiiA from Bacillus thuringiensis (Oh et al., 2012) and AiiO from Agrobacterium tumefaciens 265 (Oh et al., 2017). Production of EPS and expression of genes related to microbial attachment and 266 agglomeration were found to be reduced with enzymatic QQ treatment (Kim et al., 2013). Rhodococcus 267 erythropolis W2 was used to degrade AHLs via both its oxido-reductase and AHL-acylase activities (Uroz 268 et al., 2005). Because AI-2 signaling molecules are secreted by both Gram-negative and Gram-positive 269 bacteria, targeting AI-2 for QQ is another useful strategy. LsrK (luxS-regulated kinase) that phosphorylates 270 AI-2 is considered to be a QQ enzyme, as purified LsrK with added ATP significantly decreased the AI-2 271 signaling of S. typhimurium, E. coli, and V. harveyi (Roy et al., 2010). Farnesol, a chemical compound 272 secreted from *Candida albicans*, is effective in repressing AI-2 synthesis and mitigating biofouling in 273 MBRs (K. Lee et al., 2016). Metagenomic approaches have been applied to find a system for modifying 274 AI-2 (Weiland-Bräuer et al., 2016). An indigenous bacterium Acinetobacter sp. DKY-1 was found to

inactivate AI-2 by secreting a hydrophilic AI-2 QQ compound with a molecular weight of less than 400 Da,

but the mechanistic details remain to be determined (Lee et al., 2018). QQ enzymes or chemical compound

production systems integrated into synthetic genetic circuits would enable elaborate control of biofilmprevention and eradication.

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280 **3.2.3 Bacteriophages**

281 Bacteriophages can penetrate the inner layers of biofilms because phage depolymerases can degrade 282 EPS components (Azeredo and Sutherland, 2008). Single-type phages (Curtin and Donlan, 2006; Pires et 283 al., 2011) as well as multi-phage cocktails (Fu et al., 2010; Sillankorva et al., 2010) have been applied for 284 biofilm destruction or inhibition. Bacteriophages have great potential for engineering as antimicrobial 285 agents, vehicles for drug delivery and vaccines, and the assembly of new materials (Pires et al., 2016). 286 Synthetic biology has been used to develop reinforced bacteriophages that can efficiently kill deleterious 287 biofilm cells by introducing biofilm-degrading or -inhibiting enzymes or enhancing antibiotic penetration. 288 For example, a T7 phage was engineered to produce the biofilm-degrading enzyme dispersin B (DspB) 289 during phage infection (Lu and Collins, 2007). dspB from Actinobacillus actinomycetemcomitans was 290 integrated into the phage genome under the T7 φ 10 promoter, leading to *dspB* transcription by the T7 RNA 291 polymerase upon phage infection of E. coli TG1 biofilms. Along with cell killing by the phages, DspB 292 simultaneously attacked the biofilm matrix by hydrolyzing the biofilm-promoting adhesin β -1,6-N-acetyl-293 D-glucosamine of E. coli. The engineered enzymatic phage reduced the E. coli biofilm by 2 orders of 294 magnitude compared to the wild-type non-enzymatic phage treatment. A bacteriophage was also designed 295 to increase the antibiotic susceptibility of biofilm cells (Lu and Collins, 2009). The M13mp18 phage, a 296 modified non-lytic filamentous M13 phage, was engineered to contain csrA that encodes a biofilm repressor 297 CsrA with or without *ompF* that encodes a porin for quinolone penetration (Fig. 2D). Infection with the 298 engineered phage enhanced the antibiotic ofloxacin's bactericidal effect, resulting in more effective killing 299 of the biofilm as well as planktonic cells compared to unmodified phage treatment (Lu and Collins, 2009). 300 In order to overcome the narrow substrate specificity of biofilm-degrading enzymes (e.g., DspB), a QQ 301 enzyme was integrated into bacteriophage that was more effective in inhibiting mixed species biofilms by 302 disrupting AHL signals (Pei and Lamas-Samanamud, 2014). Lactonase AiiA from Bacillus sp. cleaves the 303 lactone rings of diverse AHLs (Wang et al., 2004). A T7 bacteriophage was engineered to express aiiA 304 controlled by the T7 φ 10 promoter, and this QQ phage treatment was effective in inhibiting *P. aeruginosa* 305 and E. coli dual-species biofilm formation via both cell lysis and AHL degradation (Pei and Lamas-306 Samanamud, 2014). Genetically engineered phages will be further developed by integrating novel biofilm 307 inhibitory functions.

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309 3.2.4 Probiotics

310 Probiotics are beneficial microbes that enhance host immunity (Hill et al., 2014) and inhibit pathogens 311 (Ohland and MacNaughton, 2010). Probiotic bacteria also have the ability to inhibit biofilm formation 312 (Fang et al., 2018; Shao et al., 2019; Woo and Ahn, 2013). Due to their beneficial health effects, probiotics 313 have been considered as an engineering host for human therapeutic application. E. coli Nissle 1917 strain 314 (EcN) is one of the best characterized probiotics and has been used for the clinical treatment of intestinal 315 disorders (Heselmans et al., 2005; Schultz, 2008; Sonnenborn and Schulze, 2009) and engineered for 316 enhancing live biotherapeutics such as tumor detection (Ozdemir et al., 2018), hyperammonemia treatment 317 (Kurtz et al., 2019), and as a drug delivery vehicle (Mckay et al., 2018). For biofilms, wild-type EcN has 318 the ability to inhibit biofilm formation of pathogenic and non-pathogenic *E. coli* (Fang et al., 2018; Hancock 319 et al., 2010) as well as the Gram-positive pathogens Staphylococcus aureus and S. epidermidis in co-320 cultures (Fang et al., 2018). EcN was engineered to sense, kill, and inhibit pathogenic biofilms for 321 preventing P. aeruginosa gut infection in Caenorhabditis elegans and mouse models (Hwang et al., 2017) 322 (Fig. 2E). The *alr* and *dadX* genes in the EcN genome were knocked out to enable the mutant EcN strain 323 to become a D-alanine auxotroph, which stabilized retention of the plasmid expressing *alr*. The engineered 324 EcN contained a synthetic genetic circuit. In response to the QS molecule 3oC12HSL from P. aeruginosa, 325 the engineered EcN produced E7 lysis protein to open the host cell, S5 pyocin to kill P. aeruginosa, and 326 DspB to degrade the biofilm matrix. The engineered EcN with anti-microbial and anti-biofilm enzymes 327 disrupted the existing biofilm and prevented biofilm formation of *P. aeruginosa* (Hwang et al., 2017). 328 Taken together, synthetic genetic circuits can enhance the prophylactic and therapeutic activities of 329 probiotics against biofilm-forming pathogens.

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331 **3.3** Synthetic biology for engineering biofilms

332 Although the elimination of deleterious biofilm cells is crucial, biofilms may have beneficial potential 333 if their pattern, thickness, composition, and metabolism can be controlled in a tunable, spatial, and temporal 334 manner. Engineered biofilms can be applied for bioremediation (Brune and Bayer, 2012; Mangwani et al., 335 2016), wastewater treatment (Karadag et al., 2015; Lewandowski and Boltz, 2011), biocorrosion control 336 (Jia et al., 2019; Morikawa, 2006; Narenkumar et al., 2016; Zuo, 2007), biofuel production (Heimann, 2016; 337 Hoh et al., 2016), specialty and bulk chemical biorefinery (Rosche et al., 2009; Wang et al., 2017), 338 biomedical microelectromechanical systems (bioMEMS) devices (Fernandes et al., 2010), and 339 pharmaceutical testing (Stewart, 2015). Synthetic genetic circuits and signaling can facilitate the design and 340 development of such biofilm control systems.

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342 **3.3.1 Biofilm patterning**

343 Biofilm formation requires complex gene regulation processes (Domka et al., 2007) that are difficult to 344 manipulate when attempting to generate a desired structure or pattern. An optogenetic module was 345 developed for microprinting biofilms (Huang et al., 2018; Ryu et al., 2017). Light-activated diguanylate 346 (BphS) that synthesizes c-di-GMP under near-infrared light (Ryu and Gomelsky, 2014) and 347 phosphodiesterase (BlrP1) that hydrolyzes c-di-GMP under blue light (Barends et al., 2009) were used to 348 bidirectionally regulate c-di-GMP levels. Near-infrared light (632 nm) illumination increased the level of 349 c-di-GMP, resulting in attachment of the cells to a cover glass surface, while blue light (434 nm) decreased 350 the level of c-di-GMP to allow detachment. Dual-color illumination enabled biofilm patterning with a high 351 spatial resolution (Huang et al., 2018) (Fig. 3A). Another biofilm patterning utilized the expression of 352 membrane adhesion proteins in response to blue light (Jin and Riedel-Kruse, 2018). E. coli was engineered 353 to contain a light-activated transcriptional promoter (pDawn) that optically controls the expression of an 354 adhesin gene (Ag43). Upon blue light illumination, biofilm formation was increased and optically patterned 355 with a 25 µm spatial resolution. Furthermore, a photoswitchable interaction between nMag and pMag 356 proteins (Kawano et al., 2015) was also developed to control bacterial adhesion (Chen and Wegner, 2017) 357 (Fig. 3B). pMag protein was produced on the surface of E. coli in the presence of blue light to allow the 358 engineered strain to adhere to the immobilized nMag protein on the material surface. This adhesion was 359 reversible. The binding was released in the dark, allowing tunable and biorthogonal control (Chen and 360 Wegner, 2017). The ability to maintain biofilm levels at a desired thickness is important for bioremediation 361 and bioproduction (Zhang and Poh, 2018). The CRISPRi/dCas9 system was applied to control the 362 expression of the wcaF gene involved in the synthesis of colanic acid, a key EPS component in E. coli 363 biofilm formation. Depending on the level of the guide RNA (gRNA) controlled by a chemical inducer, 364 wcaF gene expression was regulated by gRNA-dCas9 binding to the chromosomal wcaF locus. Temporal 365 induction resulted in different levels of biofilm thickness. When the circuit was combined with the blue 366 light-mediated expression system, biofilm thickness could be controlled by switching the light. Furthermore, 367 production of the antimicrobial peptide nisin was utilized to achieve robust and tunable spatial structures 368 (Kong et al., 2017). The external nisin gradient resulted in no fluorescence or cell death at a low nisin 369 concentration, fluorescence induction without killing the cells at medium nisin level, and cell death without 370 fluorescence at a high level of nisin, which created band-pass patterns. Mixed nisin producer and responder 371 species generated dynamic spatial structures consistent with the computational model (Kong et al., 2017). 372

373 **3.3.2 Biomaterial production**

Biofilms can be developed as a biological platform for producing self-assembling functional materials (Nguyen et al., 2014) (**Fig. 3C**). Biofilm-Integrated Nanofiber Display (BIND) was developed to produce 376 engineered amyloid protein CsgA, a major component of the curli fibrils of E. coli biofilms. The engineered 377 CsgA containing functional peptide domains was self-assembled upon secretion and facilitated nanoparticle 378 biotemplating, substrate adhesion, and site-specific protein immobilization on the BIND system 379 (Botyanszki et al., 2015; Nguyen et al., 2014). The same amyloid protein was applied to create 380 environmentally switchable conductive biofilms by using an inducible synthetic riboregulator circuit and 381 interfacing the self-assembled curli fibrils with inorganic materials such as gold nanoparticles to introduce 382 an electro-conductive property (Chen et al., 2014). 3D printing of bacteria was used to create biofilm-based 383 functional materials for bioremediation and biomedical applications (Schaffner et al., 2017). Patterned 384 biofilms were demonstrated by engineered curli production on the 3D-printed E. coli (Schmieden et al., 385 2018). Synthetic biology will guide the engineering of self-assembled polymer production and direct the 386 assembly of patterned biofilms (Majerle et al., 2019).

387

388 4. Perspective

389 Intra- or inter-species phenomena occur in mixed-species biofilms, which exhibit dynamic interactions 390 among bacteria (Giaouris et al., 2015). The cooperative interactions between biofilm bacterial species are 391 achieved through cell-cell communication, metabolic cooperation, or spatial organization (Elias and Banin, 392 2012). However, there are also competitive interactions regarding nutrient uptake, occupation of spatial 393 resources, or with the production of anti-biofilm agents (Giaouris et al., 2015). Synthetic biology 394 approaches can help understand and engineer such cooperative and competitive behaviors among different 395 bacterial species in biofilms. Studies on the beneficial characteristics of probiotic bacteria in inhibiting 396 deleterious biofilms are growing (Fang et al., 2018; Hager et al., 2019; Wasfi et al., 2018). Ribosomally-397 synthesized antimicrobial proteins such as pyocins (Oluyombo et al., 2019; Smith et al., 2011) or colicins 398 (Brown et al., 2012; Jin et al., 2019, 2018; Rendueles et al., 2014) that exhibit target-specific bacterial 399 killing could be used with probiotics to eradicate harmful biofilms without affecting the overall beneficial 400 or commensal microbial consortia.

401 Biofilms with higher productivity and tolerance to toxic inhibitors can serve as microbial cell factories 402 (Berlanga and Guerrero, 2016) for producing chemicals such as ethanol (Todhanakasem et al., 2014), 403 acetone, butanol (Förberg and Häggström, 1985), and succinyl acid (Urbance et al., 2004). Coordinating 404 synthetic biofilm communities is becoming more important in industrial biochemical production (Berlanga 405 and Guerrero, 2016). The morphology and spatial organization of catalytic biofilms must be programmed 406 along with engineering of their metabolic pathways for biochemical production (Volke and Nikel, 2018). 407 A 3D printing approach combined with synthetic genetic controls will enhance the design and assembly of 408 synthetic biofilm catalysts.

409 In addition to bacterial biofilms, fungal biofilms on implanted devices and on epithelial and endothelial

410 surfaces can cause recurrent infections with increased drug resistance (Desai et al., 2014; Kernien et al., 411 2018). Candida, Aspergillus, and Cryptococcus are the most prominent clinically relevant fungi involved 412 in the resilience of fungal biofilms to host immunity (Kernien et al., 2018). Antimicrobial peptides naturally 413 found in living organisms can effectively treat fungal biofilms without eliciting an immune response. For 414 example, histatin-5 (Hst-5) from human saliva is an antifungal peptide that can inhibit the growth of 415 Candida albicans (Baev et al., 2002) but has limited antifungal activity due to its rapid degradation at the 416 site of action (Moffa et al., 2015a). Recently, liposome encapsulation has enabled the prolonged delivery 417 of Hst-5 (Zambom et al., 2019), and the design of proteolysis-resistant peptides has been shown to stabilize 418 Hst-5, resulting in enhanced antifungal activity (Ikonomova et al., 2019, 2018), which may be applied for 419 the control of fungal biofilms (Moffa et al., 2015b). In contrast to harmful fungal biofilms, some fungal 420 biofilms are beneficial. For example, the formation of fungal-bacterial biofilms on the plant root promotes 421 plant growth by supplying essential nutrients and providing plant growth-promoting substances (Gentili 422 and Jumpponen, 2006; Herath et al., 2015). Such symbiotic relationships between plants and microbes, 423 including fungi and bacteria (Goh et al., 2013; Hassani et al., 2018), have resulted the development of 424 biofilmed biofertilizers, presenting a viable alternative for chemical fertilizers in agriculture (Zakeel and 425 Safeena, 2019). Despite the need to control fungal biofilms in medical, industrial, and agricultural 426 applications, synthetic biology techniques for fungal cells are still in the early developmental stages (Hennig 427 et al., 2015). Fungal QS (Albuquerque and Casadevall, 2012) and pheromone communication (Hennig et 428 al., 2015) may be attractive targets for modulating fungal biofilms.

429 Signaling molecules exhibit some drawbacks in the control of biofilms and thus require further 430 improvement. As mentioned above, QS molecules have been widely utilized in synthetic biology 431 (Choudhary and Schmidt-Dannert, 2010; Hong et al., 2012; Ryan and Dow, 2008), as signals produced in 432 the host cell can bind to receptors of the target cell, resulting in population-driven responses (Popat et al., 433 2015; Waters and Bassler, 2005). However, QS signal production and detection are strain-specific (Hawver 434 et al., 2016); therefore, it is difficult to apply QS circuits to target non-model strains or species that have 435 different QS systems or that lack QS signal recognition, which commonly arise in real-world situations. In 436 contrast, c-di-GMP is a nearly ubiquitous bacterial signal (Hengge, 2009; Römling et al., 2013; Sondermann 437 et al., 2012) that regulates biofilm formation, but it acts intracellularly (Valentini and Filloux, 2016). This 438 lack of signal diffusion to other cells limits the development of a c-di-GMP genetic circuit and the 439 corresponding control strategy to the host cells. Nitric oxide (NO) signaling in nitrogen metabolism is 440 involved in c-di-GMP metabolism (Rinaldo et al., 2018), and NO production can be triggered by the 441 external addition of chemicals (Barraud et al., 2012; Hetrick et al., 2009) to modulate c-di-GMP production 442 in a broad range of bacteria. Therefore, combining ubiquitous c-di-GMP regulation and strain-specific QS 443 systems will enable the development of a broad spectrum of synthetic genetic circuits for the control of complex biofilms. Additionally, bioactive phytochemicals found in natural products, such as green tea
leaves (Qais et al., 2019) and medicinal plant extracts (Shukla and Bhathena, 2016), that exhibit broadspectrum QS and biofilm inhibition may be integrated in the development of biofilm-controlling genetic
circuits. Furthermore, models of the effects of signaling molecules in biofilm communities (Abisado et al.,
2018; Emerenini et al., 2015; Frederick et al., 2011) can aid in the design and validation of synthetic
biological circuits for effective biofilm control.

450

451 **5.** Conclusion

452 Control of biofilms, including their eradication and utilization, has been hampered due to insufficient 453 knowledge of biofilm development and the limitations of biological toolkits. Recent investigations of 454 biofilm physiology and synthetic biology advancements can facilitate fine control of biofilms, resulting in 455 the efficient eradication of deleterious biofilms without the use of antibiotics and beneficial utilization of 456 engineered biofilms. However, such synthetic biology approaches for controlling biofilms remain in the 457 early stages. Rather than a single gene or signaling molecule, multiple factors contribute simultaneously or 458 in series at the different stages of biofilm development. Hence, multi-stage and multi-target strategies may 459 be required to achieve the desired level of biofilm control, which will be enabled by mimicking native 460 biofilm formation and dispersal processes. Growing sets of synthetic biology tools as well as continued 461 investigations into biofilm regulation will provide insights for biofilm-controlling strategies and their 462 application in medical, food-processing, agricultural, industrial, and environmental fields.

463

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- 469
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1112 FIGURE LEGENDS

- 1113
- 1114 Figure 1. Biofilm formation and signaling. A) Biofilm developmental stages: 1) attachment, 2) cell-to-1115 cell adhesion, 3) proliferation, 4) maturation, and 5) dispersal. B) Signaling molecules involved in 1116 biofilm formation: acylhomoserine lactone (AHL), autoinducing peptide (AIP), autoinducer-2 (AI-1117 2), cyclic di-guanosine monophosphate (c-di-GMP), indole, and nitric oxide (NO). C) Gram-1118 negative quorum sensing (QS). In V. fischeri, LuxI synthesizes 3oC6HSL (AHL). LuxR forms a 1119 complex with AHL, and the complex activates target gene expression. **D**) Gram-positive QS. In S. aureus, AgrD is processed to form AIP. Upon sensing AIP, AgrC phosphorylates AgrA, which in 1120 1121 turn induces RNAIII production. RNAIII activates or inhibits target gene expression.
- 1122 Figure 2. Biofilm cell killing and eradication. A) Protein engineering via random or site-directed 1123 mutagenesis to induce biofilm dispersal. B) Synthetic QS genetic circuit to enable biofilm 1124 displacement. LasI in the green cell produces AHL, and the LasR/AHL complex in the red cell 1125 induces biofilm dispersal [biofilm images from (Hong et al., 2012)]. C) Quorum quenching to 1126 disrupt AHL. Lactonase hydrolyzes lactone rings, and acylase cleaves acyl groups, which inhibits 1127 biofilms. D) Engineered bacteriophage for biofilm cell killing via enhanced antibiotic penetration 1128 along with biofilm inhibition via induction of the biofilm-inhibiting enzyme CsrA. E) Engineered 1129 probiotic strain to sense and kill pathogen biofilms. Colin E7 lysin (E7) disrupts the probiotic host 1130 cells, pyocin S5 (S5) kills P. aeruginosa in biofilms, and dispersin B (DspB) degrades the biofilm 1131 matrix.
- Figure 3. Biofilm utilization for patterning and biomaterial production. A) Optogenetic biofilm patterning using light-switchable c-di-GMP regulation. BphS activated by near-infrared light synthesizes c-di-GMP, while BlrP1 activated by blue light degrades c-di-GMP, resulting in biofilm formation and dispersal, respectively [biofilm images from (Huang et al., 2018)]. B) pMag on the microbial surface and nMag on the material surface form heterodimers with blue light. C) Engineered microbe produces the self-assembled curli nanofiber CsgA with a variable peptide domain, which confers new functions.
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1146 1147 Figure 3.